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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/853,538	05/11/2001	David A. Koretz		7500

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EXAMINER

PEESO, THOMAS R

ART UNIT PAPER NUMBER

2132

DATE MAILED: 09/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/853,538

Applicant(s)

KORETZ, DAVID A.

Examiner

Thomas R. Peeso

Art Unit

2132

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-42 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 22-29 is/are allowed.
- 6) ☒ Claim(s) 1-3,6,8-13,16,18-21,30-33 and 39-42 is/are rejected.
- 7) ☒ Claim(s) 4,5,7,14,15,17 and 34-38 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05112001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 07262002,08062001.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 6, 8-13, 16, 18-21, 30-33, 39-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,065,046 to Feinberg et al. in view of the examiner taking official notice.

As per claims 1, 12 and 30, Feinberg et al. disclose a file picker (col. 12, lines 40-44), and an encrypter (col. 11, lines 58-66). The remaining limitations are not met by Feinberg et al. The examiner, however, takes official notice that a request page, a destination specification page, a key generator and a notifier are well known in a system typical of this since a request is necessary to fetch the appropriate items, a destination is needed to determine who will get the information, the key generator is necessary for encrypting the file and there is usually a notifier to alert an user that their information has been transferred and is ready for procurement.

As per claims 2 and 3, these elements are extremely well known since they allow implementation of file transfers between the system and a user.

As per claims 6 and 16, use of a public key is well known since anyone, in most instances, should be able to encrypt any file.

As per claims 8 and 18, Feinberg et al. discloses this feature (col. 5, lines 5-11).

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As per claims 9-11, 19, 20 and 21, Feinberg et al. do not disclose these features. The examiner also takes official notice that displaying a list of secure documents and being notified by e-mail are helpful pieces of information available to a user so that the user will see the status of his request.

As per claim 13, it is very well known to use a Windows based application in systems typical of this since it offers a friendly and readily available application.

As per claims 31-33, files maintained on mass storage and the configuration of the storage server are well known elements of these systems since they provide a fast and easy method of file manipulation.

As per claims 39-42, handling files as a block configuration is known since it makes it easier for the storage unit to handle the files in this manner and also allows the system to be more flexible concerning the location of the individual files.

#### ***Allowable Subject Matter***

Claims 22-29 are allowed.

Claims 4, 5, 7, 14, 15, 17, 34-38 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### ***Conclusion***


The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Patent No. 6,219,669 to Haff et al. discloses File Transfer System Using Dynamically Assigned Ports.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas R. Peeso whose telephone number is 703 305-9784. The examiner can normally be reached on Mon.-Thur, 7:00 to 4:30 and alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron, can be reached on 703 305-1830. The fax phone numbers for the organization where this application or proceeding is assigned are 703 746-7239 for official communications, 703 746-7240 for unofficial communications and 703 746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 305-3900.



Thomas R. Peeso  
Primary Examiner  
Art Unit 2132

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September 14, 2004